

DOCKET NUMBER: CR 16-0515 (NGG)

CRIMINAL CAUSE FOR ARRAIGNMENT / GUILTY PLEA

Date Received By Docket Clerk: \_\_\_\_\_ Docket Clerk Initials: \_\_\_\_\_

BEFORE JUDGE: GARAUFIS DATE: SEPTEMBER 29, 2016 TIME IN COURT \_\_\_\_ HRS 60 MINS  
@ 2:30 PM.

**1. DEFENDANT: OZ AFRICA MANAGEMENT GP, LLC. (CORPORATION)**

Present X Not Present Custody Not Custody

DEFENSE COUNSEL: MARK K. SCHONFELD, JOEL M. COHEN, LEE G. DUNST AND F. JOSEPH WARIN

FEDERAL DEFENDER: CJA: RETAINED: X

Corporation Representative: Colleen Kilfoyle

**2. DEFENDANT:**

Present Not Present Custody Not Custody

DEFENSE COUNSEL:

FEDERAL DEFENDER: CJA: RETAINED:

**3. DEFENDANT:**

Present Not Present Custody Not Custody

DEFENSE COUNSEL:

FEDERAL DEFENDER: CJA: RETAINED:

A.U.S.A.: JAMES LOONAM, JONATHAN LAX, DAVID PITLUCK, LEO TSAO AND JAMES MCDONALD

COURT REPORTER: CHARLEANE HEADING

INTERPRETER: LANGUAGE:

- |   |  |  |
|---|--|--|
| X Change of Plea Hearing (~Util-Plea Entered)     | <input type="checkbox"/> Revocation of Probation contested |  |
| <input type="checkbox"/> Arraignment              | <input type="checkbox"/> Sentencing on a violation         |  |
| <input type="checkbox"/> Bail Application         | <input type="checkbox"/> Motion Hearing                    |  |
| <input type="checkbox"/> Violation                | <input type="checkbox"/> Hearing                           |  |
| <input type="checkbox"/> Status Conference        | <input type="checkbox"/> Sentencing                        |  |
| <input type="checkbox"/> Bail Violation Hearing   | <input type="checkbox"/> Motion for sentence reduction     |  |
| <input type="checkbox"/> Curcio Hearing           | <input type="checkbox"/> Oral Argument                     |  |
| <input type="checkbox"/> Voir Dire Held           | <input type="checkbox"/> Jury selection                    | <input type="checkbox"/> Jury trial        |
| <input type="checkbox"/> Jury Trial Death Penalty | <input type="checkbox"/> Sentence enhancement Phase        | <input type="checkbox"/> Bench Trial Begun |

Speedy Trial Start: Speedy Trial Stop: CODE TYPE: XT

Do these minutes contain ruling(s) on motion(s)? YES NO X

THE DEFENDANT CORPORATION IS ARRAIGNED AND PLEADS NOT GUILTY TO THE CHARGE CONTAINED IN THE SINGLE-COUNT INFORMATION. THE DEFENDANT CORPORATION AFTERWARDS PLEADS GUILTY TO THE SINGLE-COUNT INFORMATION. SENTENCING IS SCHEDULED FOR WEDNESDAY, MARCH 29, 2017 AT 2:00 PM. PARTIES WAIVE THE PREPARATION OF THE PRESENTENCE REPORT.